GP 1753

IN THE UNITED STATES PATER AND TRADEMARK OFFICE

JUN 0 2 2000

Applicant: Reitz et al.

Serial No.: 09/433,202

November 4, 1999 Filed

For PARTICLE DISPERSION

Docket No.: N19.12-0026

Group Art Unit: 1755

Examiner: M. Marcheschi

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST THE **ASSISTANT** CLASS COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, THIS

Sir:

The Patent or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 CFR § 1.97.

TIME OF FILING

The information disclosure statement is being filed:

- 1. with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits; whichever event occurs last. In accordance with 37 CFR § 1.97(b), no certification or fee is required.
- after the time period specified in paragraph 1 above, 2. X but before the mailing date of a final action under 37 CFR § 1.113 or notice of allowance under 37 CFR § 1.311. Therefore, in accordance with 37 CFR submitted herewith is:

(check either A or B below)

Α.

06/05/2000 SDUONG 00000073 09433202

01 FC:126

240.00 OP

- B. X the fee set forth in 37 CFR § 1.17(p) for submission of an information disclosure statement under 37 CFR § 1.97(c).
- 3. ____ after the mailing date of either a final action under 37 CFR § 1.113 or a notice of allowance under 37 CFR § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant submits herewith:
 - A. a certification as specified in 37 CFR § 1.97(e);
 - B. the petition fee set forth in 37 CFR § 1.17(i); and
 - C. the accompanying petition under 37 CFR § 1.97(d).

CERTIFICATION

(only used if No. 2(A) or No. 3 above is checked) The person(s) signing below certify

(check appropriate paragraph)

that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 CFR § 1.97(e)(1).

OR

that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement. 37 CFR § 1.97(e)(2).

METHOD OF PAYMENT

- No fee is required.
- \underline{X} Attached is a check in the amount of $\frac{240.00}{}$.

The Commissioner is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

Bv:

Peter S. Dardi, Ph.D., Reg. No. 39,650

Suite 1600 - International Centre

900 Second Avenue South

Minneapolis, Minnesota 55402-3319

Phone: (612) 334-3222 Fax: (612) 334-3312

PSD:nw